North Carolina and South Carolina Lighting Incentive Application
Energy Efficiency for Business

Questions? Call 1-866-380-9580 or visit www.duke-energy.com. Email the complete, signed application with all required documents to PrescriptiveIncentives@duke-energy.com, or fax to 1-866-908-4921

<table>
<thead>
<tr>
<th>Building Type – Required (check one)</th>
<th>□ Data Centers</th>
<th>□ Full Service Restaurant</th>
<th>□ Office</th>
<th>□ Retail (Small Box)</th>
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<td>Education/K-12</td>
<td>□ Healthcare</td>
<td>□ Public Assembly</td>
<td>□ Retail (Big Box)</td>
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<tr>
<td>Education Other</td>
<td>□ Industrial</td>
<td>□ Public Order/Safety</td>
<td>□ Retail (Banking)</td>
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<td>Elder Care/Nursing Home</td>
<td>□ Lodging</td>
<td>□ Religious Worship/Church</td>
<td>□ Warehouse</td>
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<td>Food Sales/Grocery</td>
<td>□ Fast Food Restaurant</td>
<td>□ Service</td>
<td>□ Water / Wastewater Facility</td>
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<tr>
<td>Other:</td>
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</tbody>
</table>

How did you hear about the program? (check one)

<table>
<thead>
<tr>
<th>□ Duke Energy Representative</th>
<th>□ Web Site</th>
<th>□ Radio</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contractor / Vendor</td>
<td>Other</td>
<td></td>
</tr>
</tbody>
</table>

Please check each box to indicate completion of the following program requirements:

- All sections of application
- Invoice with make, model number, quantity and equipment manufacturer
- Tax ID number for payee
- W-9 for payee
- Customer/vendor agree to Terms and Conditions

Customer Information

Customer/Business Contact
Phone
Street Address (Where incentive should be mailed)
City State Zip Code
Installation Street Address
City State Zip Code
E-mail Address

*Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information

Vendor Contact
Phone Fax
Street Address
City State Zip Code
E-mail Address

If Duke Energy Progress has questions about this application, who should we contact? □ Customer □ Vendor

Payment Information

Who should receive incentive payment? □ Customer □ Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor: Customer Signature (written signature) Date
Provide Federal Tax ID Number for Payee
Customer Tax ID # Vendor Tax ID #

Terms and Conditions

I have read and hereby agree to the Terms & Conditions and Program Requirements.

Customer Signature Vendor Signature
Date Date
Title Title
### LED Panels

<table>
<thead>
<tr>
<th>Make and Model Number</th>
<th>Ballast and Lamp Model Numbers</th>
<th>Incentive per fixture</th>
<th>Qty.</th>
<th>Annual Operating Hours (minimum of 1800)</th>
<th>Equipment cost (w/o labor)</th>
<th>Date Installed and Operable (mm/yy)</th>
<th>Total Incentive</th>
</tr>
</thead>
<tbody>
<tr>
<td>LED Panels 1x4 replacing or in lieu of T12 or T8 4ft 1 lamp</td>
<td>Make LED fixture model#</td>
<td>$40.00 / fixture</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Replacing: T12 T8</td>
<td>Check one: R NC FE</td>
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<td></td>
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<td></td>
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<tr>
<td>LED Panels 1x4 replacing or in lieu of T12 or T8 4ft 2 lamp</td>
<td>Make LED fixture model#</td>
<td>$40.00 / fixture</td>
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<tr>
<td>LED Panels 2x4 replacing or in lieu of T12 or T8 4ft 2 lamp</td>
<td>Make LED fixture model#</td>
<td>$80.00 / fixture</td>
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<tr>
<td>LED Panels 2x4 replacing or in lieu of T12 or T8 4ft 3 lamp</td>
<td>Make LED fixture model#</td>
<td>$80.00 / fixture</td>
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<tr>
<td>LED Panels 2x2 (less than 40 watts) replacing or in lieu of T12 or T8 2ft 1 lamp</td>
<td>Make LED fixture model#</td>
<td>$40.00 / fixture</td>
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R = Retrofit; FE = Replacing Failed Equipment; NC = New Construction

- All equipment must be new to be eligible for incentives. Used equipment is not eligible for incentives.
- Incentive capped at 75% of the customer's equipment cost
- 2x2 LED panels must be 40 watts or less to qualify
- All fixtures must be installed indoors (in a heated and cooled enclosed space).
- Except where otherwise noted, incentives will only be paid out for projects where number of lamps and fixtures installed equals number of lamps and fixtures removed. To qualify for the incentive payment, the lamps must be validated on the Design Lights Consortium (DLC) or Energy Star qualified product lists and qualifies as the appropriate primary use type. The following will be accepted:
  - 1x4 Luminaires for Ambient Lighting of Interior Commercial Spaces (accepted for replacing 4 foot 1 or 2 lamp fixtures only)
  - Retrofit Kits for 1x4 Luminaires for Ambient Lighting of Interior Commercial Spaces (accepted for replacing 4 foot 1 or 2 lamp fixtures only)
  - 2x2 Luminaires for Ambient Lighting of Interior Commercial Spaces (accepted for replacing 2 foot fixtures only)
  - Retrofit Kits for 2x2 Luminaires for Ambient Lighting of Interior Commercial Spaces (accepted for replacing 2 foot fixtures only)
  - 2x4 Luminaires for Ambient Lighting of Interior Commercial Spaces (accepted for replacing 4 foot 2, 3, or 4 lamp fixtures only)
  - Retrofit Kits for 2x4 Luminaires for Ambient Lighting of Interior Commercial Space (accepted for replacing 4 foot, 2, 3, or 4 lamp fixtures only)
  - Linear Ambient Luminaires: Direct
  - Linear Ambient Luminaires: Direct/Indirect
  - Linear Ambient Luminaires: Indirect
  - Linear Ambient Luminaires: Indirect/Direct
Program Requirements

Incentive Eligibility

- Incentives are only available to customers on a Duke Energy Progress North Carolina or South Carolina non-residential rate to which the EE-PDR is applicable.
- Incentive will not be paid until eligible equipment has been installed, is available to operate, and verification has been completed by Duke Energy Progress staff as noted in the Term & Conditions stated below.
- Duke Energy Progress reserves the right to revise incentive levels and/or qualifying efficiency levels at any time. Any measures removed from the program, will be honored during a 90 day grace period.
- Applications, along with the required documentation, must be submitted within 90 days of equipment installation and operation.
- If an application is received with incomplete or incorrect information, Duke Energy Progress will notify the contact listed on the application. In order to be eligible for incentives, corrected applications must be resubmitted to Duke Energy Progress by the end of the calendar year in which the original application was submitted or within 90 days, whichever is later.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer’s signature is required in the Payment Information section on page 1 of this form to assign the incentive to the vendor. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Leased equipment is eligible for incentives providing the equipment meets the program requirements and the customer provides the required documentation noted on the Incentive Application Process page of this application.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy Progress program is ineligible.
- In no case will Duke Energy Progress pay an incentive above the actual cost of the new equipment.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy Progress incentive payment.
- To qualify for Duke Energy Progress incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the “Customer consent to release personal information” form (“Consent Form”) along with the application. Incentive applications are processed by a 3rd party vendor. The 3rd party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy Progress and the 3rd party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy Progress the application, as you will not be qualified to participate in the incentive program.
- By accepting incentive payment, the customer and/or trade ally agree to transfer to Duke Energy Progress the rights to all attributes of the energy efficiency projects or their operation. Attributes include, but are not limited to, any and all credits, benefits, emissions reductions, offsets and allowances, whosoever entitled, resulting from the avoidance of the emission of any substance into the air, soil or water at or by Duke Energy Progress generating facilities through reduced generation of energy or other savings or offsets on account of the energy efficiency project(s). Customer will not claim ownership of any environmental attributes. Additionally, the customer and/or trade ally transfer to Duke Energy Progress the right to bid any energy efficiency, coincident demand and demand response resources associated with the projects into regional transmission organization (RTO) or independent system operator (ISO) markets.

Terms and Conditions

I certify that this premise is served by Duke Energy Progress (or an affiliate of Duke Energy Progress), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. In understand that the proposed incentive payment from Duke Energy Progress is subject to change based on verification and Duke Energy Progress approval. I agree to Duke Energy Progress verification of both the sales transaction and equipment installation which may include a site inspection from a Duke Energy Progress representative or Duke Energy Progress agent. I understand that I am not allowed to receive more than one incentive from Duke Energy Progress on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy Progress and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy Progress does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties) and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

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Incentive Application Instructions

IMPORTANT NOTICE
Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Review program and equipment requirements on the incentive application. (Page 6)
2. Purchase and install eligible energy-efficient equipment.
3. Complete and submit application within 90 days after equipment has been installed and is operational.

4. The following items must be included to verify projects. If they are not included, it will delay payment of incentive.

   A. Itemized invoice for all equipment installed to include:
      a. Equipment cost
      b. Quantity per equipment type installed
      c. Model # for each equipment type
      d. Manufacturer's data sheet for each equipment model #

   B. Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.

   C. Provide required tax ID# and W9 form for payee.

   D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to assign payment of the incentive directly to the vendor, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.

5. Duke Energy Progress may require site verification of projects that have been self-installed, prior to payment of incentive.

6. Email the complete, signed application with all required documents to PrescriptiveIncentives@duke-energy.com or fax to 1-866-908-4921

7. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy Progress representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.